**GTN MIDDLE EAST FINANCIAL SERVICES (DIFC) LIMTED**

**SOURCE OF FUNDS AND SOURCE OF WEALTH DECLARATION FORM**

|  |
| --- |
| Date:  |
| Client Name: |
| Address:  |

Anti-money laundering rules have evolved over the years, and we are required to understand the origin of an investment prior to completing any transaction. Please provide sufficient information to allow us to understand how you have obtained the funds for your investment and the source of wealth. Kindly be informed that all information and documents will remain confidential and secure.

I/We hereby confirm that the funds made available to GTNME or used for trading:

* Are not made on behalf of a third party.
* The funds invested are derived from legitimate sources and are not linked and/or derived from criminal origin, of whatsoever nature, and in particular do not constitute the proceeds of money laundering or terrorist financing; and
* Originate from the following source: (you can mark more than one option)
* Capital of company/dividends or income from business:

If yes, please provide the details, including company name and amount:

* Gift/Inheritance:

If yes, please provide the details, including origin, date received and amount:

* Professional salary:

Name of the employer:

Occupation:

Address of employer:

No of years in employment:

Gross Annual Income:

* Profit from sold or maturing investments:

If yes, please provide the details of liquidation/ sale and sale amount:

* Profit from property sale:

If yes, please provide the date and total sale amount:

* Profit from sale of company:

If yes, please provide the name and nature of the company, date and amount of sale:

* Compensation payment:

If yes, please provide details on the type and amount of compensation received:

* Pension (please complete the section professional salary):
* Other, please specify:

Please note that evidence and/or back-up documentation of this source, as detailed in the guidelines must be provided (**in English Language**) and GTNME reserves the right to request further information and back- up documentation if deemed necessary.

I declare that the information provided in this form is true and complete. I agree, to provide GTNME with any future information or documentary evidence in respect of the funds upon request.

Signature of the client:

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**SOURCE OF WEALTH AND FUNDS GUIDELINES**

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| Description of the Source  | Documents to be provided  |
| Income from Salary  | One of the following:* Payslip (latest and not more than 3 months old)
* Letter from employer confirming salary & years in employment
* Bank Statement showing receipt of salary
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| Maturity or surrender of life policy  | One of the following: * Closing statement
* Letter from insurance
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| Sale/ Liquidation of investments  | One of the following: * Certificate/ Contract Note/ Statement
* Bank Statement showing the redemption proceeds incoming
* Signed letter from regulated accountant on headed paper
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| Sale of property  | One of the following: * Letter from solicitor or regulated accountant
* Copy of the sale contract
 |
| Company Sale | One of the following: * Letter from solicitor detailing company sale
* Copy of the sale contract and statement showing proceeds
 |
| Inheritance  | One of the following: * Grant of probate
* Signed letter from solicitor or estate trustee on headed paper
* Last will
 |
| Divorce settlement or any other form of settlement or compensation  | One of the following: * Copy of the court order/agreement
* Letter from solicitor detailing settlement
 |
| Company Profits  | One of the following: * Copy of latest audited company account
* Confirmation on the nature and business of the company provided from recognised accountants
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| Retirement Income  | One of the following: * Pension statement
* Bank Statement showing the pension income
* Letter from the paying institution
 |
| Employer Premium  | One of the following: * Employer letter on headed paper confirming the amount to be paid
* Copy of the last audited company accounts
 |
| Fixed deposit- saving  | * Saving statement
 |
| Dividend payment  | One of the following: * Dividend contract note
* Bank statement showing the income
* Letter detailing dividend details signed by recognised accountant
* Set of company accounts showing the dividend details
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The above list is provided for guidance purpose only, and each case will be considered for its own merits.